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PATENT

S/N 10/765309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NISHII ET AL. Examiner: M. STAHL
Serial No.: 10/765309 Group Art Unit: 2874
Filed: January 27, 2004 Docket No.: 10873.1394US01
Title: OPTICAL ELEMENT, OPTICAL CIRCUIT PROVIDED WITH THE
OPTICAL ELEMENT, AND METHOD FOR PRODUCING THE OPTICAL
ELEMENT

CERTIFICATE UNDER 37 CFR 1.601

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on December 29, 2006.

By: Carrie Vanderlinde
Name: Carrie Vanderlinde

AMENDMENT AND RESPONSE UNDER 37 CFR 1.116

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the final Office Action mailed on October 18, 2006, Applicants respond as follows:

Amendments to the Claims are shown in the listing of claims that begin on page 2 of this document.

Remarks begin on page 7 of this document.